

1 High seroprevalence of Immunoglobulin G (IgG) and 2 IgM antibodies to SARS-CoV-2 in asymptomatic and 3 symptomatic individuals amidst vaccination roll-out 4 in western Kenya

5 Shehu Shagari Awandu^{1,2*}, Alfred Ochieng², Benson Onyango², Richard Odongo
6 Magwanga^{2,3}, Pamela Were², Angeline Ochung², Fredrick Okumu², Marceline Adhiambo
7 Oloo², Jim Seth Katieno³, Shirley Lidechi³, Fredrick Ogutu⁴, Dorothy Awuor², Joy Nyangasi
8 Kirungu⁵, Francis Orata Omoto⁶, Justine Midega⁴, Bonface Oure⁴, Regina Nyunja², Eric M O
9 Muok³, Steven Munga³, Benson Estambale²

10 ¹School of Health Sciences, Jaramogi Oginga Odinga University of Science and Technology,
11 P.O Box 210-40601, Bondo-Kenya

12 ²School of Biological, Physical, Mathematics and Actuarial Sciences, Jaramogi Oginga
13 Odinga University of Science and Technology, Bondo-Kenya

14 ³State Key Laboratory of Cotton Biology/Institute of Cotton Research, Chinese Academy of
15 Agricultural Science, Anyang, Henan, China

16 ⁴Kenya Medical Research Institute Centre for Global Health Research (CGHR), Kisumu,
17 Kenya

18 ⁵Kenya Industrial Research and Development Institute (KIRDI), Kenya

19 ⁶Masinde Muliro University of Science and Technology (MMUST), Kenya

20 * Corresponding authors

21 Shehu Shagari Awandu

22 E-mail: sawandu@jooust.ac.ke

23 Benson Estambale

24 Email: bestambale@jooust.ac.ke

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26 **Abstract**

27 Background

28 The population's antibody response is a key factor in comprehending SARS-CoV-2
29 epidemiology. This is especially important in African settings where COVID-19 impact,
30 and vaccination rates are relatively low. This study aimed at characterizing the
31 Immunoglobulin G (IgG) and Immunoglobulin M (IgM) in both SARS-CoV-2
32 asymptomatic and symptomatic individuals in Kisumu and Siaya counties in Western
33 Kenya using enzyme linked immunosorbent assays.

34 Results

35 The IgG and IgM overall seroprevalence in 98 symptomatic and asymptomatic
36 individuals in western Kenya between December 2021-March 2022 was 76.5% (95%
37 CI =66.9-84.5) and 31.6% (95% CI =22.6- 41.8) respectively. In terms of gender,
38 males had slightly higher IgG positivity 87.8% (36/41) than females 68.4% (39/57).
39 Amidst the ongoing vaccination roll-out during the study period, over half of the study
40 participants (55.1%, 95% CI= 44.7-65.2) had not received any vaccine. About one
41 third, (30.6%, 95% CI= 21.7-40.7) of the study participants had been fully vaccinated,
42 with close to a quarter (14.3% 95% CI=8.04-22.8) partially vaccinated. When
43 considering the vaccination status and seroprevalence, out of the 30 fully vaccinated
44 individuals, IgG seropositivity was 86.7% (95% CI =69.3-96.2) and IgM seropositivity
45 was 40% (95% CI =22.7-59.4). Out of the participants that had not been vaccinated at
46 all, IgG seroprevalence was 70.3% (95% CI 56.4-82.0) with 20.4% (95% CI 10.6-33.5)
47 seropositivity of IgM antibodies. SARs-CoV-2 PCR positivity did not significantly
48 predict IgG ($p = 0.457$ [95% CI 0.514- 4.371]) and IgM ($p = 0.858$ [95% CI 0.350-
49 2.395]) positivity.

50 Conclusion

51 Our data indicate a high seroprevalence of antibodies to SARS-CoV-2 in western
52 Kenya. This suggests larger fraction of the population were infected with SARS-CoV-
53 2 within the defined period than what PCR testing could cover.

54

55 Introduction

56 The coronavirus disease 2019 (COVID-19) caused by severe acute respiratory syndrome
57 coronavirus 2 (SARS-CoV-2), has infected more than half a billion people globally (1). The
58 COVID-19 pandemic continues to disrupt lives, increase mortality in people with underlying
59 co-morbidities and severely impact world economies (2). While all continents have been
60 severely impacted, Africa has registered low scores on major metrics including mortality rates,
61 number of cases and absence of exponential growth as predicted (3). However, the reasons
62 for this are still unclear. Exposure of the population to many infectious diseases in the
63 continent, generating cross reactive protective antibodies is suggested as contributing to
64 reduced severity to the infection (3-5).

65 The SARS-CoV-2 infection is associated with the development of a robust humoral immune
66 response with variable levels of Immunoglobulin A (IgA), IgM and IgG isotypes as the infection
67 progresses (6, 7). Upon SARS-CoV-2 infection, the IgM response is quick and short-lived,
68 detectable up to 20 days post infection and then wanes (7, 8). In contrast, the IgG antibody
69 responses peak after 25 days, and are more long lived and detectable up to 120 days post
70 symptom onset (7, 8).

71 The kinetics of anti-SARS-CoV-2 especially IgG and IgM have been profiled in several
72 epidemiological settings in Kenya (9). Whilst an earlier study among blood donors found an
73 overall IgG seroprevalence of 4.3 % peaking in 35-44 year olds (10), in contrast a study among
74 community health workers reported 20.8% seroprevalence (11). More recently, a population
75 survey in Nairobi recorded a 34.7% seroprevalence (12). Majority of studies in Kenya thus far
76 have mainly focused on the most at-risk population in both urban and rural areas of the
77 country. The differences in seroprevalence makes it unclear whether the antibody response
78 to SARS-CoV-2 in western Kenya, ravaged by a host of infectious diseases including malaria,
79 HIV and tuberculosis is similar to the rest of the country (13).

80

81 Here, we examined the levels of IgM and IgG antibodies to SARS-CoV-2 in asymptomatic and
82 symptomatic individuals amidst vaccination roll-out, in Kisumu and Siaya counties in western
83 Kenya. We hypothesized that in western Kenyan populations burdened by several other
84 infectious diseases, the COVID-19 antibody responses are not different in vaccinated and
85 non-vaccinated individuals.

86 **Materials and Methods**

87 **Study design and participants**

88 We screened and recruited individuals presenting to Kisumu and Siaya Counties referral
89 hospitals for routine COVID-19 tests in western Kenya (Fig 1). All patients, regardless of
90 COVID-19 symptoms were eligible for enrollment. Study procedures were explained to them,
91 and an informed consent form signed by the participants. A detailed personal history and
92 physical examination were carried out by the study doctor and documented on a predesigned
93 form. Demographic data including age, gender, county of residence, symptoms, date of onset,
94 severity, vaccination status and test type (PCR or antigen test), whether initial or follow-
95 up/repeat.

96 **Fig 1. Map of the study site in Siaya and Kisumu counties, Kenya showing the sample**
97 **collection points.**

98 **Sample size calculations**

99 Sample size was calculated in an online platform <http://www.raosoft.com/samplesize.html> ,
100 using a margin of error of 9.78% and with a 95% confidence interval with a 50% response
101 distribution, giving at least 96 samples.

102

103 **Sample collections**

104 Participants provided stool and nasopharyngeal samples in viral transport media (AB Medical
105 Inc). Additionally, participants provided a 5 ml venous blood sample, in sterile EDTA tubes,

106 that was centrifuged to separate plasma and buffy coat. All the samples were transported
107 under cold chain to Kenya Medical Research Institute, Centre for Global Health Research
108 (CGHR).

109 **Laboratory assays**

110 **Enzyme linked immunosorbent assay (ELISA)**

111 To detect the presence of IgG and IgM antibodies against SARS-CoV-2 S proteins
112 respectively, serological assays were performed using the qualitative indirect SCoV-
113 2 Detect™ IgG ELISA kit and SCoV-2 Detect™ IgM ELISA kit (InBios International, Seattle,
114 USA). Briefly, 50 µL each of serum samples, positive, negative and cut-off controls in
115 duplicates were added into the SCoV-2 Antigen coated microtiter ELISA plates. The plates
116 were covered with parafilm and incubated at 37°C for 1 hour in an incubator. The plates were
117 subsequently washed 6 times using 300 µL of 1X Wash Buffer. 50 µL of conjugate was then
118 added to the wells, plate covered with parafilm and incubated at 37°C for 30 minutes in an
119 incubator. The plates were washed 6 times using 300 µL of 1X wash buffer. 75 µL of Liquid
120 TMB substrate was added into all wells and the uncovered plates incubated at room
121 temperature in the dark for 20 minutes. Finally, 50 µL of stop solution was added per well and
122 the plates incubated at room temperature for 1 minute. The plates were read on a BIOTEK
123 ELX 800 absorbance microplate reader at 450 nm optical density. The raw optical densities
124 (ODs) were recorded, and ratios computed. Samples with IgG or IgM ratio greater than or
125 equal to 1.1 considered positive and IgG or IgM ratio less than or equal to 0.9 considered
126 negative.

127 **RNA Extraction and COVID-19 PCR tests**

128 Total nucleic acid from Nasopharyngeal samples in Viral Transport Medium (VTM) were
129 extracted using QIAamp Viral RNA Kit (Qiagen) following manufacturer's instructions. The
130 extracted RNA from the samples was stored at -20°C awaiting SARS-CoV-2 RT-PCR.

131 Real Time PCR was conducted using a DaAn Gene nucleic acid extraction kit (DaAn Gene
132 Co, Ltd., of Sun Yat-sen University, China) as per manufacturer's instructions. The master mix
133 was prepared by mixing 17 μ l of NC (ORF1ab/N) PCR liquid A (reaction mix) and 3 μ l of NC
134 (ORF1ab/N) PCR reaction liquid B (enzyme), then 5 μ l of the extracted sample was added to
135 make the PCRs final volume of 25 μ l in a PCR plate on a cold block. The PCR tubes were
136 immediately transferred to an ABI 7500 RT-PCR machine (Applied Biosystems) for detection
137 of SARS-CoV-2.

138 The probe detection modes were set as: ORF1ab: VIC, Quencher: NONE, N-Gene: FAM,
139 Quencher: NONE, Internal Control: Cy5, Quencher: NONE, Passive reference: NONE. The
140 PCR cycle was carried out on the following conditions: 1 cycle of 15 min at 50°C, 1 cycle of
141 15 min at 95°C, and 45 cycles of 94°C for 15 s and 55°C for 45 s

142 Results were analyzed by 7500 Fast Real Time PCR software version 2.3 to identify SARS-
143 Cov-2 positive targets by evaluating PCR curves for sigmoidal amplification. A sample was
144 considered positive for the targeted pathogen when it had cycle threshold (CT) value within
145 38 cycles ($Ct < 38$), negative extraction blank, positive amplification of ORF1ab, positive
146 amplification for Positive Control wells and a fluorescence amplification curves for the internal
147 control well.

148

149 **Statistical analyses**

150 Seroprevalence was determined as positivity for either IgG or IgM subtypes over the total
151 number of individuals tested. The association between SARs-CoV-2 qPCR results, gender,
152 IgM and IgG was tested using binomial logistic regression analysis. All the statistical analyses
153 were conducted in STATA Version 16.

154 Results

155 Demographics

156 A total of 98 participants were recruited into the study, with slightly more females 58.2%
157 (57/98) than males 41.8% (41/98). The median age was 29 years (interquartile range 19-44)
158 years. Majority of the participants were symptomatic 73.5% (72/98), reporting multiple
159 symptoms including history of fever, muscular pain, shortness of breath, headache, and sore
160 throat amongst others. On severity of symptoms, 6.1 % (6/98) reported mild symptoms with
161 more than half 57.4% (56/98) reporting severe symptoms. The samples were distributed
162 equally with 49 from Kisumu County and another 49 from Siaya county.

163

164 Seroprevalence

165 During the 3 months' duration from December 2021 to February 2022, the IgG and IgM overall
166 seroprevalence in 98 symptomatic and asymptomatic individuals in western Kenya was 76.5%
167 (95% CI =66.9-84.5) and 31.6% (95% CI =22.6- 41.8) respectively. In terms of gender, males
168 had slightly higher IgG positivity 87.8% (36/41) than females 68.4% (39/57) (Table 1). We
169 compared the levels of SARS-CoV-2 IgG and IgM antibodies in Kisumu which is largely urban
170 town and Siaya a more rural set up (Table 1). Whilst the IgG antibodies levels, were almost
171 similar in the two counties, IgM antibodies were more pronounced in Siaya (40%) than Kisumu
172 (22%) respectively.

173 Table 1. Demographic characteristics, antibody responses and SARS-COV-2 PCR results
174 among study participants

Characteristics	Total samples tested	IgG Seroprevalence (95% CI)	IgM Seroprevalence (95% CI)	PCR positivity* (%)
------------------------	-----------------------------	------------------------------------	------------------------------------	----------------------------

Overall	98	76.5% (95% CI =66.9-84.5)	31.6% (95% CI =22.6- 41.8)	32(32.6)
Kisumu County	49	73.5(58.9-85.0)	22.4(11.8-36.6)	12(24.5)
Siaya County	49	79.6(65.7-89.8)	40.8(27.0-55.9)	20(40.8)
Sex				
Female	57	68.4% (54.8-80.1)	24.5(14.1-37.8)	21(36.8)
Male	41	87.8% (36/41)	41.5(26.3-57.9)	11(28.9)
Age group in years				
0-11	6	6.7(2.2-9.5)	3.3(0.4-7.8)	1(16.6)
12-17	18	83.3(58.5-96.4)	27.9(9.7-53.5)	2(11.11)
18-49	52	67.3(52.8-79.7)	28.8(17.1-43.1)	19 (38.0)
50-64	14	92.8(66.1-99.8)	42.9(17.7-71.1)	8(57.14)
>65	8	100(100-1000)	37.5(39.5-71.0)	2(28.6)

175 *PCR results were only available for 95 participants while serology outcomes were available
 176 for 98 participants.

177

178 Seroprevalence by age

179 To assess immune response to SARS-CoV-2 among asymptomatic and symptomatic
 180 individuals, we tested for their IgG and IgM antibody levels. We further stratified the individuals
 181 into several age groups and compared the responses based on gender. Participants aged 18-
 182 49 years had the highest levels of detectable IgG antibodies from either gender. While all
 183 adults males aged 50-64 years and those over 65 years were all IgG seropositive, only all
 184 females aged between 0-11 and adults over 65 years were IgG seropositive (Figure 2).

185

186 **Fig 2. Seroprevalence of infection-induced SARS-CoV-2 IgG antibodies, by gender and**
187 **age group — Kisumu and Siaya Counties, Kenya, December 2021–March 2022.**

188

189 The detectable IgM antibodies were highest in participants aged 18-49 years and lowest in
190 children aged between 0-11 and adults over 65 years though at lower frequency than IgG.
191 Interestingly, all female participants aged 65 years and above were negative for IgM
192 antibodies (Figure 3).

193

194 **Fig 3. Seroprevalence of infection-induced SARS-CoV-2 IgM antibodies, by gender and**
195 **age group — Kisumu and Siaya Counties, Kenya, December 2021–March 2022.**

196

197 **Seroprevalence and vaccination status**

198 Amidst the ongoing vaccination roll-out during the study period almost one third, (30.6% 95%
199 CI= 21.7-40.7) of the study participants had been fully vaccinated, with close to a quarter
200 (14.3% 95% CI=8.04-22.8) partially vaccinated. In contrast over half of the study participants
201 (55.1% 95% CI= 44.7-65.2) had not received any vaccine (Figure 4). When looking at
202 vaccination status and seroprevalence, out of the 30 fully vaccinated individuals, IgG
203 seropositivity was 86.7% (95% CI =69.3-96.2) and IgM seropositivity was 40% (95% CI =22.7-
204 59.4).

205 From the partially vaccinated individuals, IgG seropositivity was 78.6% (95% CI= 49.2-95.3)
206 with 72.7% (95% CI= 39.0-94.0) IgM seropositivity. Out of the participants that had not been
207 vaccinated at all, IgG seroprevalence was 70.3% (95% CI 56.4-82.0) with 20.4% (95% CI
208 10.6-33.5) seropositivity of IgM antibodies.

209 **Fig 4. Vaccination status of the study participants**

210 A binomial logistic regression was run to understand the effects of PCR positivity on the IgG
211 and IgM seropositivity. SARS-CoV-2 PCR positivity did not significantly predict IgG ($p = 0.457$
212 [95% CI 0.514- 4.371]) and IgM ($p = 0.858$ [95% CI 0.350-2.395]) positivity.

213 **Discussion and Conclusions**

214 With the poor uptake of COVID-19 vaccines in African settings amidst the easing of restrictions
215 on movements and other containment measures, there is need to understand the antibody
216 responses in the population. The present study aimed to describe the anti-SARs-CoV-2 IgG
217 and IgM antibody responses during the COVID-19 pandemic in the period between December
218 2021 and March, 2022 in western Kenya. Generally, we found high levels of IgG and IgM
219 antibody against SARS-CoV-2 high in the population corroborating recent and previous
220 findings from population-based surveys in Kenya (11, 14, 15).

221 As previously observed, this was despite the low vaccination rate with only about one third of
222 the population receiving the full vaccination (16). This implies that most of the population had
223 been exposed to the COVID-19 virus during this period and had raised antibodies against the
224 infection. This is suggestive that the population may be heading to herd immunity but this
225 should not lead to vaccine complacency. It is instructive to note, that the Kenyan government
226 has prioritized vaccination of the entire population with first and booster doses readily available
227 in public health facilities.

228
229 When considering IgG antibody responses and age groups, seroprevalence peaked in adults
230 aged 18-49 years consistent with other studies in Kenya that reported higher seroprevalence
231 amongst adults (10). It is plausible that the adults have an expanded immunological memory
232 driven by their catalog of memory B and T cells (17). Adults older than 65 years of age had a
233 lower antibody response against the SARS-CoV-2 compared to the other age groups in line
234 with existing reports (9, 11, 12). Increased comorbidities reported in the older adults may lead
235 to the aging immune system not mounting a robust response.

236
237 Interestingly, we recorded a higher seroprevalence of IgM antibodies in Siaya County a more
238 rural set up compared to Kisumu County a more urbanized town. This was in line with the
239 (18)higher SARS-CoV-2 RT-qPCR positivity of samples from Siaya county in contrast to

240 Kisumu County during the sample collection period. This finding is consistent with other
241 studies in Kenya that have reported marked geographic variation in seropositivity (10, 14).
242 Similar observations of variations in seroprevalence have also been documented across Africa
243 (19). As the IgM antibody responses are short lived and useful in detecting recent infections
244 (20), this suggest an ongoing community transmission in Siaya during the study period. The
245 earlier and robust IgM responses days after onset of symptoms were linked to virus control.
246 The similar profiles of IgG during the same period in the two counties corroborates its role as
247 a more persistent antibody (21).

248

249 This study was limited by its recruitment of participants attending the hospitals, as it is possible
250 that seroprevalence was overestimated due to selection bias. Additionally, the results may not
251 be generalizable to the whole population as the samples may not have been representative.
252 Consequently, representative longitudinal studies that follow individuals over a longer time
253 span are needed to fully understand the SARS-CoV-2 antibody profiles and dynamics.

254 **Acknowledgements**

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256 received from the Kisumu and Siaya Counties health officers during study procedures. We are
257 grateful to the study participants who took part in the study.

258

259

260 References

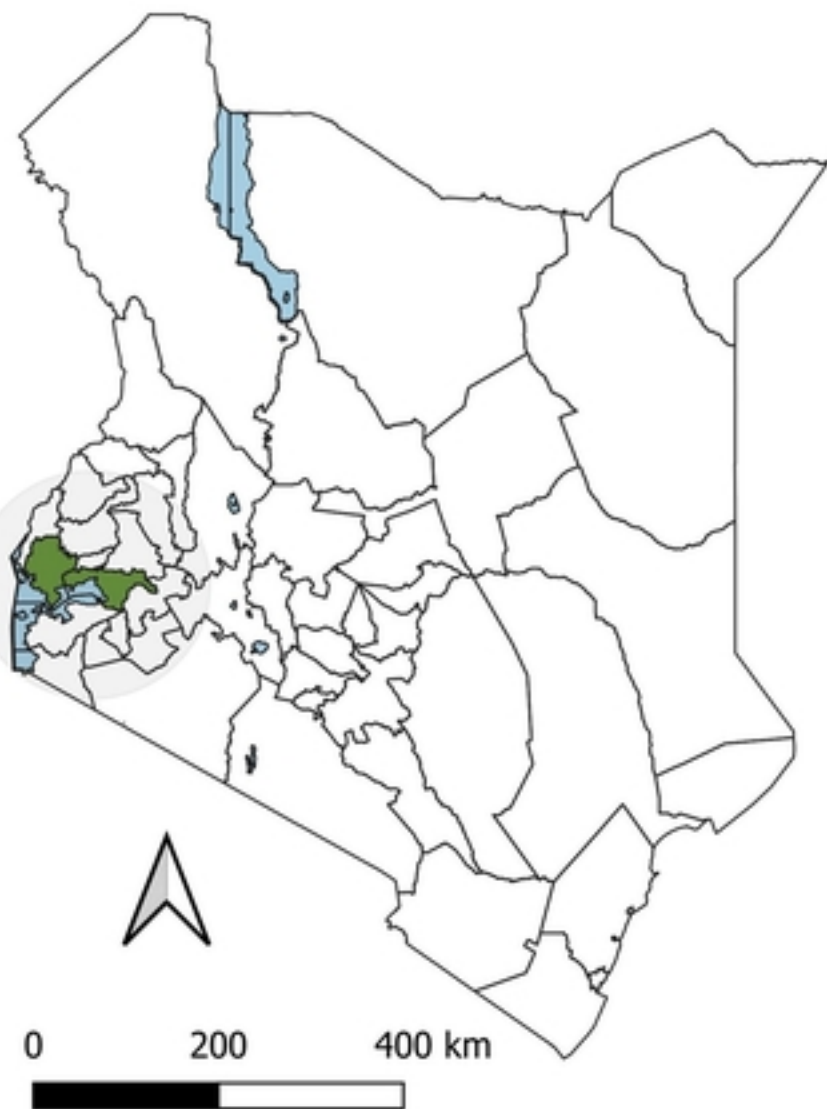
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- 321

322 **Supporting information captions**

323 Data sets for the study

A) KENYA



B) STUDY AREA

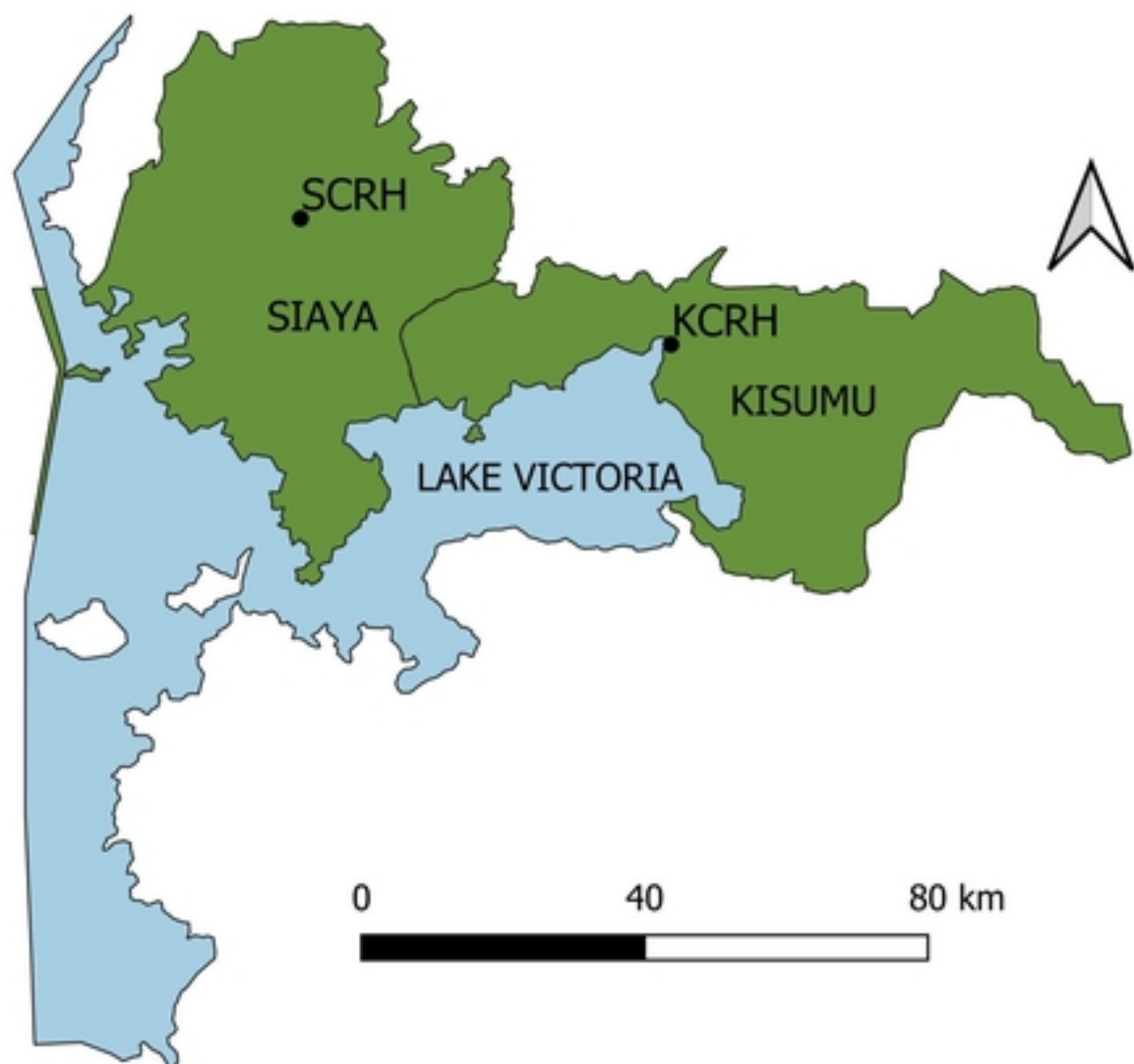


Figure 1. Map of the study sites

IgG antibody responses in males and females by age groups

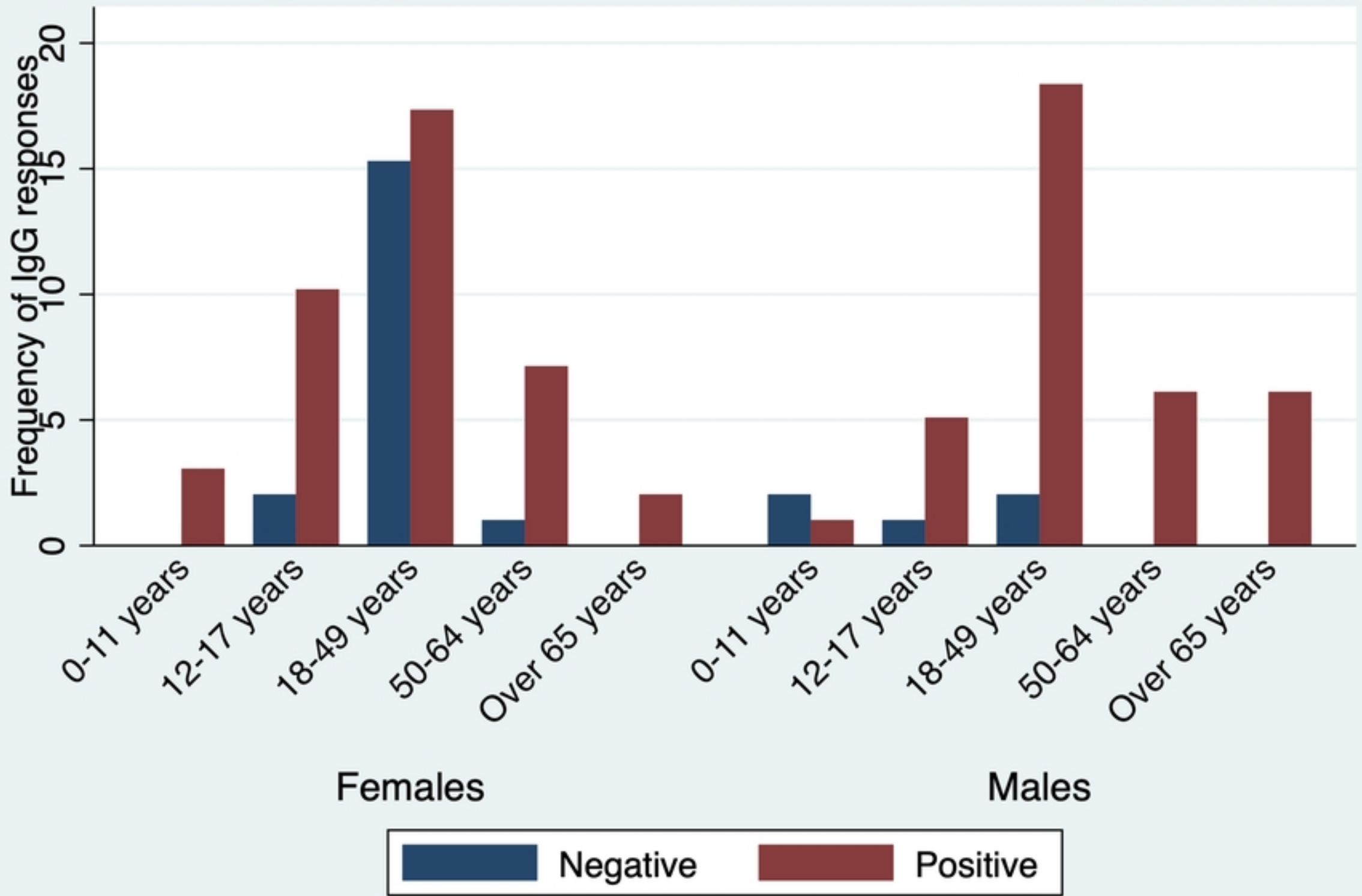


Figure 2.

IgM antibody responses by gender and age groups

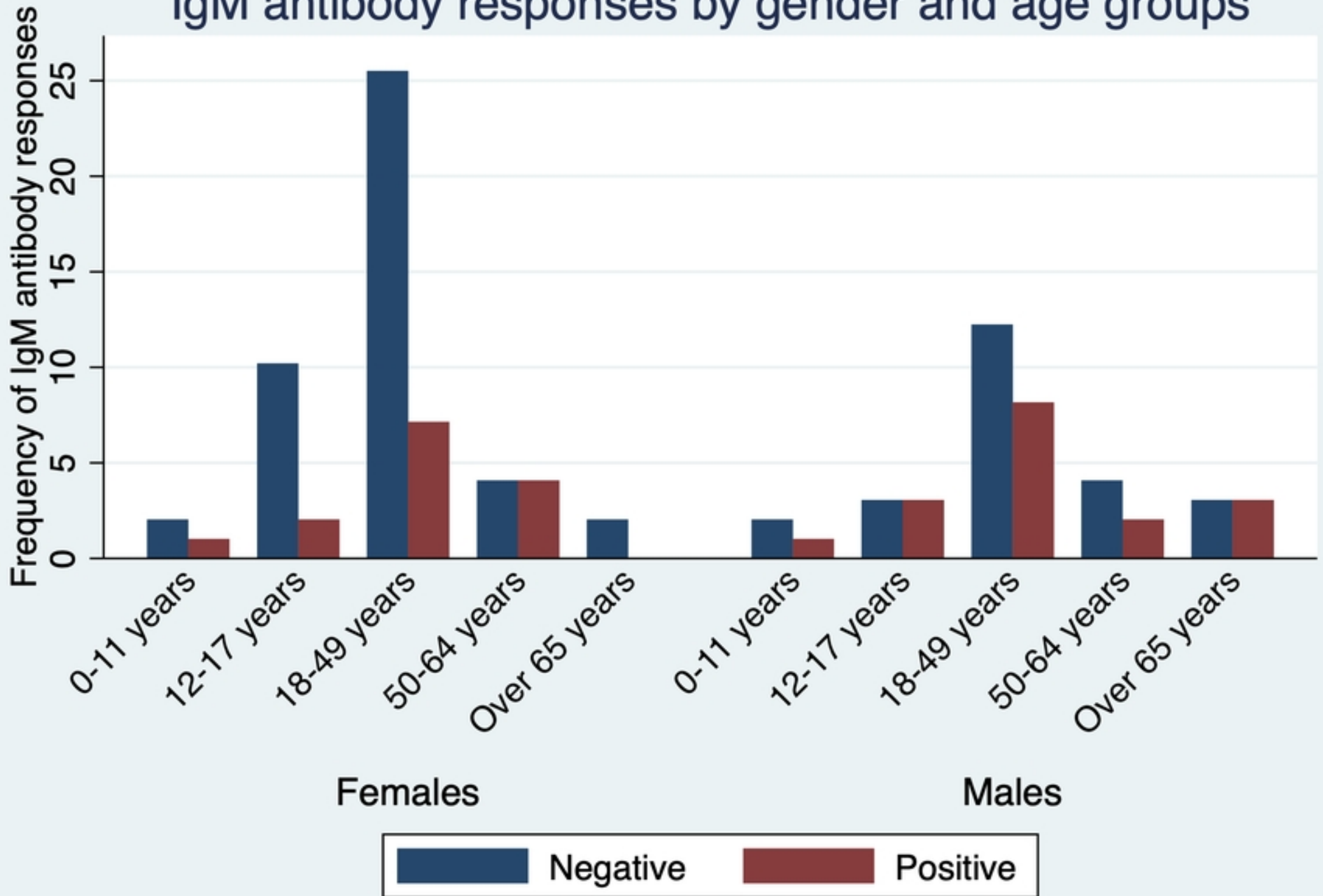


Figure 3

Study participants COVID-19 vaccination status

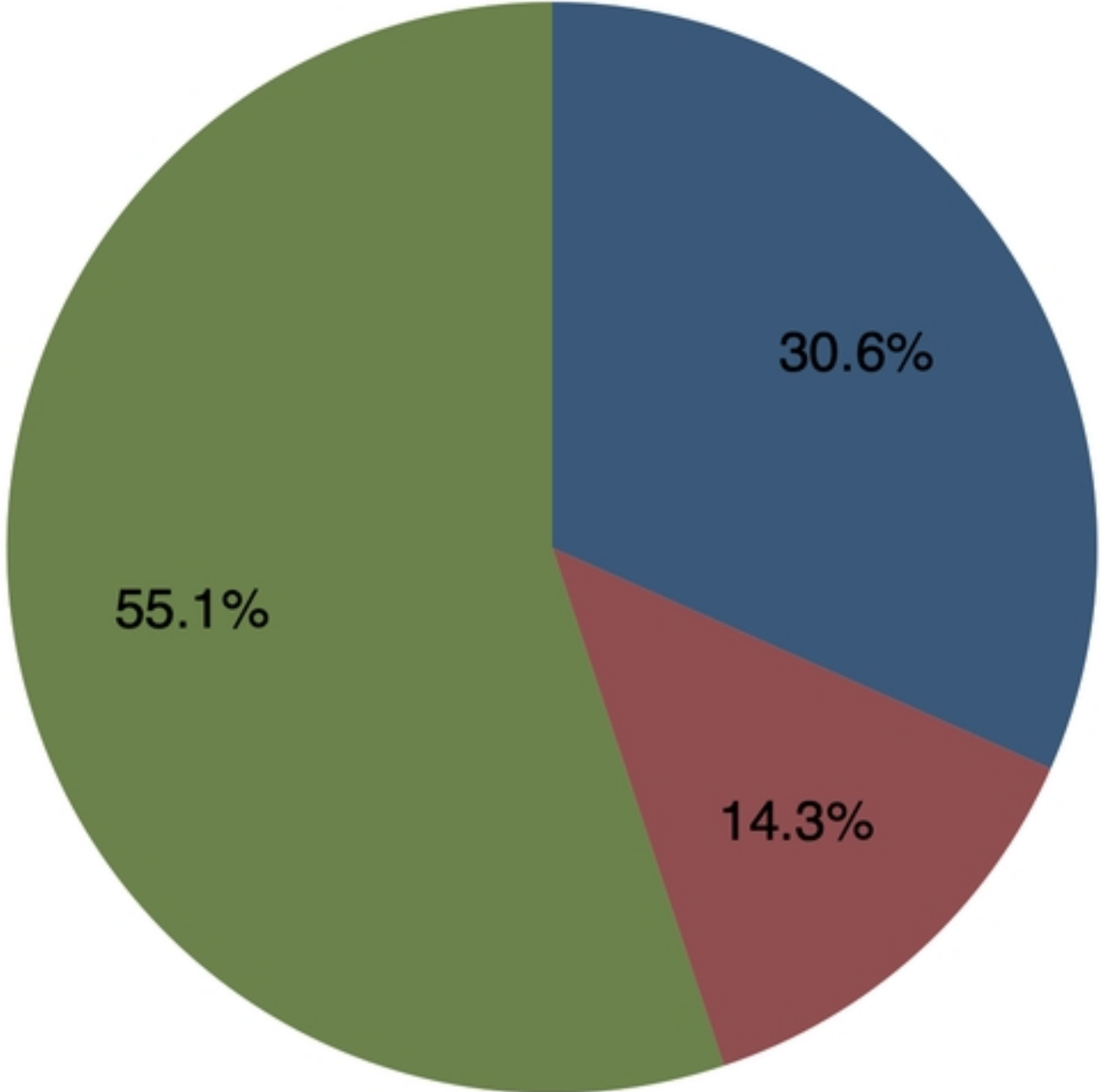


Figure 4