



GENERALIZATIONS ON NORMAL SELF-ADJOINT OPERATORS

Sabasi Omaoro, J. Kerongo, R. K. Obogi

Department of mathematics,

Kisii University,

Box 408-40200, Kisii-Kenya.

N. B. Okelo

Department of Pure and Applied mathematics,

Jaramogi Oginga Odinga of Science and Technology,

Box 210-40601, Bondo-Kenya.

Email: omaoro69@yahoo.com

Abstract: In this paper, we study the properties of normal self-adjoint operators. We concentrate on some of their properties, for example, reflexivity, denseness and compactness. We also give some results on norm-attainability.

Keywords: Reflexivity, Compactness, Denseness, Numerical radius attainability, Normal operators and Self-adjoint operators.

INTRODUCTION

We consider certain properties of operators. A lot of studies have been done on reflexivity, compactness and numerical radius attainability on Hilbert space operators [1-12] and the reference therein.

PRELIMINARIES

Definition 2.1 . An operator $A \in B(H)$ attain its numerical radius if there are $x_o \in H$, $f_o \in H^*$ such that $\|x_o\| = \|f_o\| = f_o(x_o) = 1$ and $|f_o(A(x_o))| = r(A)$, that is if the supremum defining $r(A)$ is actually a maximum.

Lemma 2.2. Let each operator $S \in M(A)$ be of rank one and attains its numerical radius. Then $M(A)$ is reflexive.

Proof. For proof see [2].

MAIN RESULTS

Theorem 3.1. Let $M(A)$ be reflexive. Then it is Banach and for some y_o in $Q_{M(A)}$ the operator $y^* \otimes y_o^*$ attains its numerical radius for any $y^* \in [M(A)]^*$.

Proof. Let $M(A)$ be dense and non-reflexive. Suppose that every operator $y^* \otimes y_o^*$ attains its numerical radius. By the Bishop-Phelps Theorem in [4] and the non reflexive of $M(A)$, we find $(y^* \otimes y_o^*) \in \widehat{\Pi}(M(A)^*)$ which satisfies $|y_o^{**} - y_o| < 1$ and $y^{**} \notin X$, and since $y_o^{**}(y_o^*) - y_o^*(y_o) < 1$ and since $y_o^{**}(y_o^*) = 1$, then $y_o^*(y_o) \neq 1$ and

$$\alpha y_o^{**}(y_o^*) = 1 \tag{1}$$

For some scalar $\beta \neq 0$. By the Hahn-Banach Theorem, there $\xi \in Q_{M(A)^{***}}$ and $t > 0$ Such that $\xi(y) = 0, \forall y \in M(A)$ and $Re \xi(y_o^{**}) > t$. $M(A)$ is dense, therefore in $M(A)^{***}$ the topology of strong convergence on $M(A) \cup \{y_o^{**}\}$ is dense. Since $Q_{M(A)^*}$ is w^* -dense in $Q_{M(A)^{***}}$, there exist a sequence $\{y_n^*\}$ in $Q_{M(A)^*}$ converges to φ in $\sigma((A)^{***}, M(A) \cup \{y_o\})$. Then $\{y_n^*(y)\} \rightarrow 0, \forall y \in M(A)$

$$\tag{2}$$

And assume

$$Re y_o^{**}(y_n^*) \tag{3}$$

The set $C = \widehat{\Pi}(M(A))$ and $D = \widehat{\Pi}(M(A)^*)$ (C) are considered as subsets of D . But the function $f_n: \widehat{\Pi}(M(A)) \rightarrow \mathbb{R}$ given by $f_n(y)^*, y^{**} = y^{**}(y_n^*)y^*(y_o), ((y^*, y^{**})) \in \widehat{\Pi}(M(A)^*)$. For each sequence $\{g_n\}$ with $0 \leq g_n \leq 1$ and $\sum_{n=1}^{\infty} g_n f_n(y^*, y^{**}) = Re y^{**}(\sum_{n=1}^{\infty} g_n y_n^*)y^*(y_o), \forall (y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)$. We now get

$$\sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} \lim_n \sup Re y_n^*(y)y^*(y_o) \geq \inf_{x^* \in CO\{y_n^*\}} \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} Re y^{**}(x^*)y^*(y_o). \text{ But, } \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} \lim_n \sup Re y_n^*(y)(y_o) = 0 \tag{4}$$

and from (3) and (1), suppose $x^* \in \{y_n^*\}$, then $Re y^{**}(x^*) \frac{\beta}{\beta} y_o^*(y_o) \geq \frac{t}{\beta}$, and

$$\inf_{x^* \in CO\{y_n^*\}} \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} Re y^{**}(x^*)y^*(y_o) \geq \frac{t}{\beta}. \tag{5}$$

Finally, from (4), (5) we get $0 \geq \frac{t}{\beta}$, but $t > 0$ which is a contradiction.

Theorem 3.2. Let $Y \in M(A)$ be a rank one operator not attaining its numerical radius. Then $M(A)$ can be renormed if it is infinite dimensional.

Proof. Let $M(A)$ to be reflexive and for normalized elements $y_o \in B_{M(A), s_o^* \in B_{M(A)^*}}$, the equality $v(s_o^* \otimes y_o) = \|s_o^* \otimes y_o\| = 1$ is true if $s_o^*(y_o) = 1$, since $v(s_o^* \otimes y_o)$ is attained at $y_o, s_o^* \in \widehat{\Pi}(M(A))$ [1, 2, 3, 4 and 5]. Now if $v(s_o^* \otimes y_o) = 1$ then we have $s_o^*(y_o) = 1 = s_o^*(s)$ and commuting the elements s and s^* we obtain in $\widehat{\Pi}(M(A))$ satisfying

$$s_o^*(y_o) = 1 = s_o^*(s) \tag{6}$$

Let y_o^* be unique in the ball of $M(A)^*$ and $y_o^*(y_o) = 1$. From the smoothness of y_o we obtain $s^* = y_o^*$. Since $(s, y_o^*) = (s, s^*) \in \prod(M(A))x$ will uniquely be determined by assuming that y_o^* is also smooth and so $s = \lambda y_o$ for some $\lambda = 1$ and $(s, s^*) = (\lambda y_o, y_o^*)$. Using (1) again, $s_o^*(\lambda y_o) = s_o^* = 1$, and the smoothness of y_o gives us $\lambda s_o^* = y_o = s^*$. Finally, the couple (s, s^*) is (y_o, y_o^*) . It is sufficient that $s_o^* \otimes y_o$ satisfies $v(s_o^* \otimes y_o) = \|y_o\| = \|s_o^*\| = 1$, with y_o, s_o^* smooth and hence $s_o \notin \mathbb{K}z_o$, for some $s_o \in B_{M(A)}$ such that $s_o^*(s_o) = 1$. Next if the numerical radius of the operator is 1, then there exist $\{s_n, s_n^*\} \subseteq \prod(M(A))$ so that

$$\{s_n^*(y_o)\} \rightarrow 1 \tag{7}$$

By inequality $2 \geq \|s_n + y_o\| \geq s_n^*(s_n + y_o)$ and (8), we have $\{\|s_n + y_o\|\} \rightarrow 2$. Similarly, if s_o is a w -cluster point of $\{s_n\}$, (8) will also give us $s_o^*(s_o) = 1$. Conversely, if $\{s_n\}$ converges in the w -topology to an element s_o in the unit ball and $\{\|s_n + y_o\|\} \rightarrow 2$, then there is a sequence of norm one functional $\{s_n^*\}$ so that the sequence $\{s_n^*(s_n)\}$ and $\{s_n^*(y_o)\}$ converges to 1. By Bishop-Phelps-Bollobas Theorem [1, 2, 3, 4, 5] we assume that $s_n^*(s_n) = 1$ and so, we fix an element s_n^* in the unit sphere of the dual so that $s_o^*(s_o) = 1$, and we have $\lim_n s_o^*(s_n) = s_o^*(s_o) = 1, \lim_n s_n^*(y_o) = 1$ and therefore $v(s_o^* \otimes y_o) \geq \sup_n s_o^*(s_n) s_n^*(y_o) \geq 1$, implying that the numerical radius of the operator is 1.

Corollary 3.3. Let $M(A)$ be a Banach algebra. Then every operator in $M(A)$ can be perturbed by a normal self-adjoint operator to obtain an operator in $B(H)$.

Proof. Suppose $X \in M(A)$ with $\|X\| = 1$ and $0 < \varepsilon < \frac{1}{2}$ given. From [2, 3 and 4] two decreasing sequences of positive numbers, $\{\alpha_n\}$ and $\{\delta_n\}$ are chosen with the following conditions satisfied

$$\sum_{i=1}^{\infty} (\alpha_i + 2\alpha_i^2) < \varepsilon; \lim_{n \rightarrow \infty} \alpha_n = \frac{1}{2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) =; \left\{ \frac{\delta_n}{\alpha_n^2} \right\} \rightarrow 0 \tag{8}$$

(We choose $\alpha_n = \frac{\varepsilon}{3 \cdot 2^{2n}}$, for example, and $\delta = \alpha_n^3$). The sequence X_n in $M(A)$ and $\{a_n, f_n\}$ in $\prod(A)$ are constructed satisfying

$$X_1 = X, \tag{9}$$

$$|f_n(X_n(a_n))| > v(X_n) - \delta_n \tag{10}$$

$$X_{n+1}(a) = X_n(a) + \alpha_n \lambda_n f_n(a) a_n + \alpha_n^2 f_n(X_n(a)) a_n \quad (a \in A) \tag{11}$$

Where $|\lambda_n| = 1$ and $f_n(X_n(a_n)) = \lambda_n |f_n(X_n(a_n))|$. It can be verified by induction that

$$\|X_{n+1}\| \leq 1 + \sum_{i=1}^{\infty} (\alpha_i + 2\alpha_i^2) \leq 2, \forall n \tag{12}$$

It follows that

$$\|X_{n+1} - X_n\| \leq 1 + \sum_{i=1}^{n+k-1} (\alpha_i + 2\alpha_i^2), \forall n, k \tag{13}$$

By (12) and (7), the norm of the sequence $\{X_n\}$ converges to an operator G in $M(A)$ satisfying

$$\|G - X_n\| \leq \sum_{i=1}^{n+k-1} (\alpha_i + 2\alpha_i^2), \forall n, k. \tag{14}$$

For all n , and particularly $\|G - X\| < \varepsilon$. With X_n playing the role of X , $\delta = \delta_n, \alpha = \alpha_n, \rho = \alpha_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2)$, $(a, f) = (a_n, f_n)$ and $(y, h) = (a_{n+k}, f_{n+k})$, so that the operator X' agrees with X_{n+1} and we have

$$\begin{aligned} 1 + \alpha_n v(X_n) &\leq |f_n(a_{n+k})| + \alpha_n |f_n(X_n(a_{n+k}))| + \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + \delta_n (1 + \alpha_n^2)] \\ &\leq |f_n(a_{n+k})| + \alpha_n |f_n(X_n(a_{n+k}))| + \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + \delta_n (1 + \alpha_n^2)] \end{aligned}$$

Here, the fact that δ_n is a decreasing sequence is used for the last inequality. We now replacing X_n by G in the inequality above and use the estimate of $G - X_n$ given by (13) (to neutralize the errors) and we get $1 + \alpha_n v(G) \leq |f_n(a_{n+k})| + \alpha_n |f_n(G(a_{n+k}))| + \varepsilon_n$ where

$$\varepsilon_n = \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + \delta_n (1 + \alpha_n^2)] + 2\alpha_n \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2).$$

Hence by (7) and due to the fact that the sequence $\alpha_n \rightarrow 0$ and $\delta_n \rightarrow 0$, then $G \in B(H)$.

Theorem 3.4. Let $A \in B(H)$ be normal and $M(A)$ be compact and dense in $B(H)$. Then A is compact.

Proof. Let $A \in B(H)$ and $M(A) \subseteq B(H)$. Suppose that x_n is a strongly convergent sequence in H then Ax_n is also a strongly convergent sequence in $M(A)$. As A is normal then $M(Ax_n) \rightarrow 0$ hence $M(A)$ is normal. But $M(A)$ is compact and dense. Then $Ax_n \rightarrow 0$ for every strongly convergent sequence (x_n) from H . Then we also have $Ax_n \rightarrow 0$. Since A is normal [4,7] then the operator A^* is also normal. Since x_n is a strongly convergent sequence in H then $A^* Ax_n \rightarrow 0$ and A is closed. This implies that A is compact.

REFERENCES

[1] **Acosta M. D., Agurre F. J., Paya R.**, A space by W. Gowers and new results on norm and numerical radius attaining operators. *Acta universitatis Carolinae. Math. Et physica.*, Vol.33, no.2, (1992), 5-14.

[2] **Acosta M. D., Galan M. R.**, Reflexivity spaces and numerical radius attaining operators. *J. Extracta math.*, Vol.15, no.2, (2000), 247-255.

[3] **Acosta M. D., Paya R.**, Numerical radius attaining operators. *Extracta math.* Vol.2, (1987), 74-76.

[4] **Bishop, E., Phelps, R. R.**, A proof that every Banach space is sub reflexive. *Bull. Amer. Math. Soc.*, Vol.67, (1961), 97-98.

[5] **Chi-kwong L.**, Lecture notes on numerical Ranges. *Department of math. College of William and Mary, Virginia 23187-8795.* (2005).

[6] **Gowers W.**, Symmetric block bases of sequences with large average growth. *J. Israel j. Math.*, Vol.169, (1990), 129-149.

[7] **Gustafson K.E., et al**, Numerical Range. *Springer-verlay, New York, inc.*, (1997).

[8] **Honke D., Wang Y., Jianming L.** Reduced minimal numerical ranges of operators on a Hilbert space. *J. Acta math. Scientia.*, Vol.29B, no.1, (2009), 94-100.

- [9] **Joachim w.**, Linear operators in Hilbert spaces. *Spring-Verlag, New York*, (1980).
- [10] **Omidvar M. E., Moslehian M. S., Niknam A.** Some numerical radius inequalities for Hilbert space operators., *Involve 2.4*, (2009), 471-478.
- [11] **Shapiro J. H.**, Notes on the numerical range. *Michigan state University, East Lansing, MI 48824-1027, USA*.
- [12] **Yul E., Vitali M., Antonis T.**, Functional Analysis: An introduction. *American Mathematical Society, New York*, (2004).
- [13] **Kittaneh F.**, Numerical radius inequality and an estimate for numerical radius of the Frobenius companion matrix. *Studia math.*, Vol.158, no.1, (2003), 11-17.